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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/688,392	<b>RECEIVED</b> <b>MAR 21 2001</b> <b>Technology Center 2100</b>
Filing Date	October 16, 2000	
First Named Inventor	Thomas C. Naratil	
Group Art Unit	2161	
Examiner Name	Mary Benton	
Attorney Docket Number	4034-20	

## ENCLOSURES (check all that apply)

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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Robert I. Pearlman, Reg. No. 18,881		
Signature			
Date	March 14, 2001		

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application Of: Thomas C. Naratil

Group No.: 2161

Application No.: 09/688,392

Examiner Name: Mary Benton

Filing Date: October 16, 2000

Attorney Docket No.: 4034-20

For: **SYSTEM AND METHOD FOR TRADING TAXABLE AND  
NON-TAXABLE SECURITIES**

Riker, Danzig, Scherer, Hyland  
& Perretti LLP  
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Morristown, NJ 07962

March 14, 2001

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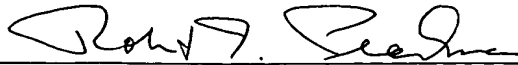
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Signature Of Principal Practitioner Of Record

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